# OT03 Rec'd PCT/PTO

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES 100894-15 (KGB) DESIGNATED/ELECTED OFFICE (DO/EO/US) knewn see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2003/005889 12 May 2003 (12.05.2003) 11 July 2002 (11.07.2002) TITLE OF INVENTION Method for Evaluating Cation-Exchange Resin and Method for Controlling Water Treatment System Using the Same APPLICANT(S) FOR DO/EO/US Yusuke NAGATA and Shinichi OHASHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

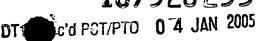
Other items or information: Form PTO 1449; Transmittal of Formal Drawings; Express Mail Certificate; Return Receipt Postcard This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Page 1 of 2

PTO-1390 (Rev. 12-2004)
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U.S. APPLICAT	TON NO. (if known, see	_ = '	INTERNATIONAL APPL	ICATION NO.	ATTORNEY'S DOC	KET NUMBER
	10/5202	735	PCT/JP2003/00:	5889	100894-15 (KG	GB) `
21. The following fees are submitted:						
a) Basic national fee\$300.00					\$ 300.00	
✓ b) Examination fee\$200.00					\$ 200.00	
					s 500.00	
<b>✓</b> c) Search fee\$500.00					<u> </u>	
TOTAL OF ABOVE CALCULATIONS = \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence					\$ 1000.00	
	ee for specification and d mputer program listing fil					
additional 5	0 sheets of paper or frac					
Total Sheets Extra sheets		Number of each additional 50 or fraction RATE				
			thereof (round <b>up</b> to a whole number)			
- 100 =	/50 =			x \$250.00	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest					-	
	date (37 CFR 1.492(e)).	\$				
CLAIMS	NUMBER FI	LED	NUMBER EXTRA	RATE	\$	
Total claims	13	- 20 =	)	× \$50.00	\$ 0	
Independent clai	ims 2	- 3 =	0	x \$200.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00					\$ 0	
TOTAL OF ABOVE CALCULATIONS =					\$	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced					\$	
LJ by ½.					\$ 1000.00	
SUBTOTAL =					\$ 1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					\$	
TOTAL NATIONAL FEE =					\$ 1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied					\$	
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					<b>*</b>	
TOTAL FEES ENCLOSED =					\$	
					Amount to be refunded:	\$
					Amount to be charged:	\$ 1000.00
a. L. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 14-1263 in the amount of \$ 1000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 14-1263 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not						
be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Kurt G. Briscoe Customer No. 27384						
Customer No. 2		DYSSS T				
Norris McLaughlin & Marcus, P.A.  875 Third Avenue - 18th Floor  NAME					RISCOE	· .
New York,NY						
733,141						
REGISTRATION NUMBER						



Attorney Docket No. 100894-15 (KGB)

This is a 371 of PCT/JP2003/005889

APPLICANT: Yusuke NAGATA et al

TITLE:

METHOD FOR EVALUATING CATION-EXCHANGE RESIN AND METHOD FOR CONTROLLING WATER TREATMENT SYSTEM

USING THE SAME

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number EV 586234732US

Date of Deposit fannary 4, 2005

I hereby certify that the following items:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371 (2 pages)
- 2. Application Data Sheet (3 pages)
- 3. Recordation Form Cover Sheet for Patents Only (1 page)
- 4. Assignment (2 pages)
- 5. Combination Declaration & Power of Attorney (3 pages)
- 6. Specification consisting of description (pages 1-18); 13 Claims (pages 19-22); Abstract (page 23); Four Sheets of Drawings (Fig. 1 -Fig 4)
- 7. Transmittal of Formal Drawings (4 Sheets)
- 8. Copy of the International Search Report
- 9. Information Disclosure Statement
- 10. Form PTO 1449
- Copy of first page of international application as published under WO 2004/008134
- 12. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

Jennifer Archer

#### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under Express Mail Label No. EV 586234732 US in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below

Date: January 4, 2005

By: Jenny and

Attorney Docket No. 100894-15 (KGB)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Yusuke NAGATA et al

SERIAL NO.

To Be Assigned

CUSTOMER NO. :

27384

**FILED** 

Herewith

**FOR** 

METHOD FOR EVALUATING CATION-EXCHANGE RESIN

AND METHOD FOR CONTROLLING WATER TREATMENT

SYSTEM USING THE SAME

**ART UNIT** 

To Be Assigned

**EXAMINER** 

To Be Assigned

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

### TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Submitted herewith for entry and approval are four (4) sheets of formal drawings (Fig. 1 - Fig. 4) in the above-referenced application. Each sheet of drawing indicates the identifying indicates suggested in 37 CFR § 1.84(c).

Respectfully submitted,

NORRIS, MCLAUGHLIN & MARCUS, P.A.

By 2

Kurt G. Briscoe

Reg. No. 33,141

Attorney for Applicant(s) 875 Third Avenue - 18<sup>th</sup> Floor New York, New York 10022

Phone: (212) 808-0700

USSN: To Be Assigned

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